

Consulting Services for **Money Services Businesses** and **Non-Banking Financial Institutions**

Knowledge, Expertise and Data-Driven solutions to achieve BSA/AML, Compliance, and Regulatory Success.



Money Transmitter Licensing Acquisition and Maintenance Support

Help with determining all appropriate requirements and acquiring the state licenses and authority that your organization (*bank, licensed money transmitter, agents, and delegates*) requires before beginning business, including:

- Assistance with licensing examinations, reporting and maintenance requirements, and ongoing regulatory compliance.
- Help identify risk, detect suspicious or unusual activity, and prevent exposure associated with money laundering and terrorist financing.
- Additional services for International or domestic payment as well as virtual currency providers.



BSA/AML/OFAC Compliance Services and Independent Reviews

Expert risk assessment and examination of your processes, policies, and procedures to identify possible Bank Secrecy Act, Anti-Money Laundering, and Office of Foreign Assets Control (BSA/AML/OFAC) noncompliance issues – and the compliance consulting expertise and services required for resolution. We also conduct Consumer Compliance Independent Reviews and NYDFS 504 gap assessments.



Corporate Compliance Training

Our powerful, flexible, and customizable online training platform, as the foundation of your BSA/AML compliance program, will help your staff stay up-to-date and uphold your compliance policies.



At Bates Group, we offer solutions for established and start-up financial services companies, including Banks, Fintech (financial technology) firms, Cryptocurrency firms, Money Services Businesses (MSBs), Non-Banking Financial Institutions (NBFIs) and Precious Metal Dealers.

40+ years as a trusted partner to financial services clients and their counsel

A complete professional staff and 165+ financial industry and regulatory compliance experts

SYSTEMS EXPERIENCE

- NMLS (State Licensing)
- BSA E-Filing (FinCEN registration, SAR reporting, etc.)
- Transaction Monitoring Systems (Actimize, Unit 21, etc.)
- Blockchain Analytics Tools (Chainalysis, Elliptic, TRM Labs, etc.)
- KYC/KYB System Vendors (ComplyAdvantage, Acuant, LexisNexis, etc.)

CERTIFIED

- CAMS
- CAMS-Audit
- CRCM
- CRFM

EXPERTISE

- BSA/AML/OFAC Compliance
- Project-based and ongoing compliance support
- Independent Reviews
- Anti-money laundering program
- Policy and Procedure development
- State Licensing Acquisition and Maintenance
- Expert Compliance Training
- Outsourced Compliance
- Financial crimes prevention
- Expert Testimony



“Bates Group has extensive expertise in the BSA/AML/OFAC and Consumer Compliance for financial institutions including MSBs and other financial institutions.”



“Brandi and the Bates team are top-notch compliance professionals especially in the areas of money laundering, funds transfer, and payments. I recommend them without reservation.”



“My experience working with Bates Group, has been nothing but exceptional, they have proven to be professional, ethical, and supportive. Their attention to detail is extraordinary, and highly appreciated. We strongly recommend their services.”

Learn More: Let's Connect



Brandi B. Reynolds,
CAMS- Audit, CCI, CCCE
Managing Director,
AML & Compliance

Visit: [batesgroup.com](https://www.batesgroup.com)
Call: 503-670-7772
Email: contact@batesgroup.com